

MARC A ROTH
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EDUCATION

Ph.D.	University of California, Berkeley	1976
MA	University of California, Berkeley	1972
BA	Northwestern University	1968

EXAMINATIONS/PROFESSIONAL DESIGNATIONS

NASD Series 3	National Commodities Futures
NASD Series 7	General Securities Registration
NASD Series 63	Uniform State Agent
NASD Series 15	Foreign Currency Options

PROFESSIONAL EXPERIENCE

JMR Capital Management, Inc. San Francisco, CA
President June 1994-Present
Registered Investment Advisor providing wealth and investment management services to individuals, corporations and charitable foundations in the US and internationally.

Alliance Capital Management San Francisco; New York
Research Consultant June 1998-December 2000
Research Consultant for senior management. Edited publication on traditional and alternative investments for institutional clientele of ACM.

Donaldson, Lufkin and Jenrette London and San Francisco, CA
Vice President, Investment Services Group December 1988-June 1994
Delivered investment management, research and trading services for clientele which included high net worth individuals and institutional accounts in the US, Mexico and Europe.

Mabon Nugent International London
Vice President, Institutional Equity Sales April 1987—December 1988
Furnished investment research and capital market banking services for London and Scotland based institutional clients including Daiwa Capital, Ivory & Sime, Bank IndoSuez, British Investment Trust, and Citibank International.

LF Rothschild, Unterberg, Tobin San Francisco, CA
Vice President, Private Client Group December 1983—April 1987